



Certificate for trust or entity ownership

Important information

The purpose of this form is to ensure that nonqualified annuity contracts held by a non-natural person or entity will be tax-reported correctly in compliance with the Internal Revenue Code (IRC). Under IRC Section 72(u), an annuity contract owned by a trust or other entity, rather than a natural person, generally is not treated as an annuity contract for federal income tax purposes. Instead, the earnings under the contract are currently taxable to the owner, even if the earnings are not immediately withdrawn from the contract. There are several exceptions to this general rule, however, and it is possible that one of these exceptions applies to your contract. If one of the exceptions applies, your contract will be treated for federal income tax purposes as an annuity, and thus the earnings typically will not be taxed until you withdraw them from the contract.

Trust or other entity-owned contracts:

When the contract owner is a trust or other entity and the primary annuitant dies before the contract annuitizes, the IRC requires that the entire contract value be distributed no later than the fifth anniversary of the annuitant's date of death. A change of the primary annuitant is also treated as the death of that annuitant, requiring the contract value to be distributed within the 5-year period. If the contract is held in a trust, this mandatory distribution rule may conflict with any provision in the trust regarding when payments can be made to trust beneficiaries. Please read the trust document carefully and consult with your own tax or legal professional.

Venture and Wealthmark variable annuity annuitization:

As disclosed in our prospectus, special rules, in addition to those described in the preceding paragraph, will apply when a contract is annuitized and the owner is a trust or other entity. When the owner is an entity and the contract is annuitized, the annuitant becomes the owner of the contract and all distributions become payable to the annuitant—not to the trust/entity. This contract provision may conflict with the terms of a trust holding the contract or with the purposes for which another entity may have acquired the contract. We strongly recommend that you consult with your own tax or legal professional before annuitizing the contract.

Special situations requiring prior company approval:

Certain entities and special situations not noted on this form may require advance approval from John Hancock. Additional documentation may be required at the discretion of John Hancock. Each special situation will be reviewed and handled on a case-by-case basis, and a determination regarding tax consequences and tax reporting will be made after a review of the circumstances.

Instructions for completing this form

Complete this form when ownership of a nonqualified annuity contract will be held by a trust or other entity. Examples include contracts owned by trusts, partnerships, corporations, or charitable entities that are not issued in conjunction with a qualified retirement plan. **This form must accompany the Change of owner and/or beneficiary form (1307217), which is available on johnhancock.com/annuities.**

Contact information

 **Website:**
johnhancock.com/annuities

 **Phone:** 800-344-1029
TTY: 800-555-1158

 **Submission:**
See return instructions at end of this form.

1. Entity information

Contract number

Entity name

Taxpayer identification number (TIN)

State of establishment

Date of establishment (mm/dd/yyyy)

Phone number

Address (Street)

City

State

Zip code

Country (if outside the U.S.)



2. Entity type**Please specify the type of entity in the applicable section below** (complete one section only).**A. Trust:**

- ☐ Revocable trust
- ☐ Irrevocable trust
- ☐ Charitable trusts (CRT, CLT, CRAT, etc.—not permitted on certain annuities)

John Hancock takes the position that for tax purposes, if all the beneficiaries are individuals, an annuity contract held by a trust may be treated as holding the annuity contract as agent for a natural person. Therefore, if we have documentation certifying that all beneficiaries are individuals, we will treat such trusts as having tax-deferral and will only issue an IRS Form 1099-R when there has been a distribution for that year.

Charitable trusts are tax-exempt entities and therefore not subject to taxation. Consequently, John Hancock will not issue an IRS Form 1099-R to reflect any income to such trusts. All necessary tax reporting of income to the settlor or beneficiary of a charitable trust is the responsibility of the trustees.

List the grantors and beneficiaries of the trust, as well as their dates of birth.

Grantor name (First)	MI	Last	Date of birth (mm/dd/yyyy)
Co-grantor name (First)	MI	Last	Date of birth (mm/dd/yyyy)
Trust beneficiary name (First)	MI	Last	Date of birth (mm/dd/yyyy)
Trust beneficiary name (First)	MI	Last	Date of birth (mm/dd/yyyy)
Trust beneficiary name (First)	MI	Last	Date of birth (mm/dd/yyyy)

Note: Attach a separate sheet of paper if you need to list more beneficiaries. This information is for tax-reporting purposes only and does not determine the beneficiaries of the contract. John Hancock will pay any death benefit according to the beneficiaries listed on the contract.

B. Other taxable entity:

- ☐ Partnership (including LLP and family partnerships)
- ☐ Limited liability company
- ☐ Corporation
- ☐ Other (subject to John Hancock approval): _____

Annuity contracts owned by any of the taxable entities above do not generally receive tax deferral. John Hancock will issue an IRS Form 1099-R annually to report any gain within the contract as well as any distributions of gain from the contract. John Hancock expresses no opinion with respect to the suitability of an annuity as an investment in a nonqualified deferred compensation plan. The administration of any such plan is the sole responsibility of the employer. Employers may want to consult with their own tax or legal professionals before using an annuity in a nonqualified deferred compensation plan.

C. Tax-exempt entity:

- ☐ Charitable organization or foundation (including churches or hospitals)
- ☐ Educational institutions
- ☐ Other (subject to John Hancock approval): _____

Tax-exempt entities are not subject to taxation and therefore John Hancock will not issue an IRS Form 1099-R in connection with any gain or distribution from an annuity contract owned by such an entity. John Hancock expresses no opinion with respect to the suitability of an annuity as an investment in a section 457(f) deferred compensation plan. The administration of any such plan is the sole responsibility of the employer. Employers should consult with your own tax or legal professionals before using an annuity in a section 457(f) deferred compensation plan.



3. Authorized individuals information**Provide the individuals authorized to exercise contract rights on behalf of the entity, as well as their title (e.g., Trustee, Partner, Officer).**

Name (First)	MI	Last	Title
Name (First)	MI	Last	Title
Name (First)	MI	Last	Title
Name (First)	MI	Last	Title

Note: Attach a separate sheet of paper if you need to list more authorized parties.**Indicate signing requirements below.** Unless otherwise indicated, John Hancock will require all parties to sign any request.

- ☐ All must sign.
- ☐ Only one authorized individual must sign.

4. Signatures and authorizations**By signing below, I hereby certify the information on this form is correct and accurate.**

I also understand this document is intended for informational purposes only to assist John Hancock in complying with its understanding of federal tax-reporting requirements and procedures. It does not constitute tax advice and does not modify the terms and conditions of my contract. John Hancock procedures may change at any time without notice and may differ based upon the facts and circumstances presented. I will consult my own tax professional regarding my particular situation before proceeding.

- ☐ **By checking this box,** I confirm I have read and understand the entirety of this form, including the important information included on page one.

Certification required of U.S. persons only (including U.S. citizens, U.S. resident aliens, or other U.S. persons).

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number,
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person, including a U.S. resident alien (as defined in the IRS Form W-9 instructions).

Certification instructions: You must check the box below if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

- ☐ I am subject to backup withholding as a result of a failure to report all interest and dividends.

Tax classification for contract owners that are not individuals:

Check the appropriate box below to indicate how you are taxed for federal income tax purposes. We use this information to determine our obligations under the tax laws for withholding and information reporting. If you do not check a box, we will apply the federal default presumption rules.

- | | | | |
|---|---|---|---|
| ☐ Trust | ☐ Estate | ☐ Partnership | ☐ C Corporation |
| ☐ S Corporation | ☐ LLC taxed as partnership | ☐ LLC taxed as C Corporation | ☐ LLC taxed as S Corporation |
| ☐ Other (specify, for example, Charity, Qualified retirement plan, Nonprofit): _____ | | | |

For a single-member limited liability company (LLC) treated as a disregarded entity, provide below the name, taxpayer identification number (TIN) and tax classification of the owner of the LLC.

Name	
TIN	Tax classification



4. Signatures and authorizations (continued)



If you are signing on behalf of an entity (e.g., Trustee), indicate your title by checking the appropriate box below your signature. If a title is not indicated or a taxpayer identification number is not included in section 1 of this form, mandatory tax withholding rules will apply unless we already have a completed IRS Form W-9 or applicable substitute on file with us.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to prevent backup withholding.

SIGN
HERE

Signature of authorized signer/trustee

Date signed (mm/dd/yyyy)

Print name (First) MI Last

Title (check appropriate box, if applicable): ☐ Authorized signer ☐ Trustee

SIGN
HERE

Signature of authorized signer/trustee

Date signed (mm/dd/yyyy)

Print name (First) MI Last

Title (check appropriate box, if applicable): ☐ Authorized signer ☐ Trustee

Return instructions

Please submit your completed and signed form via one of the following:



National contracts:

John Hancock Annuities Service Center
PO Box 55444
Boston, MA 02205-5444

New York contracts:

John Hancock Annuities Service Center
PO Box 55445
Boston, MA 02205-5445

All overnight mail:

Annuities Service Center
John Hancock Insurance
372 University Avenue, Suite 55444
Westwood, MA 02090

